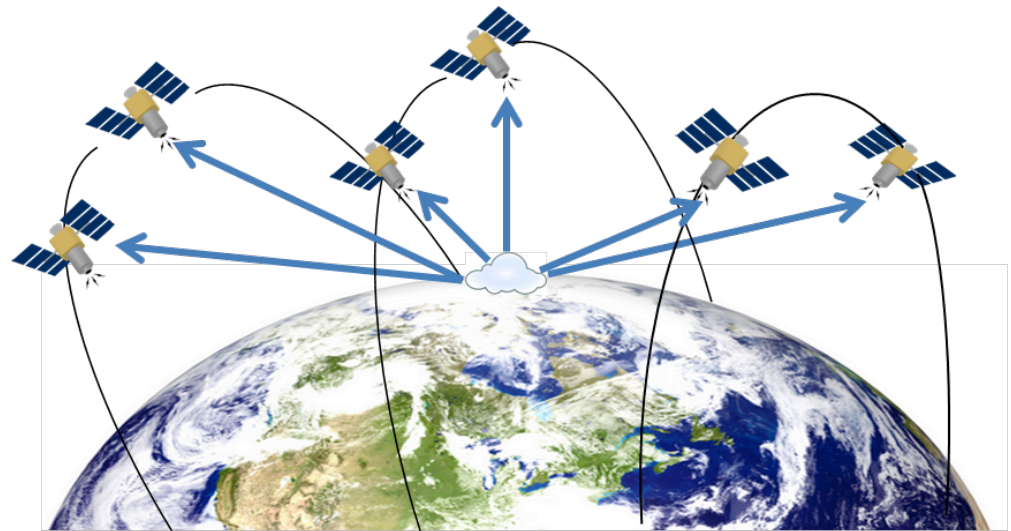
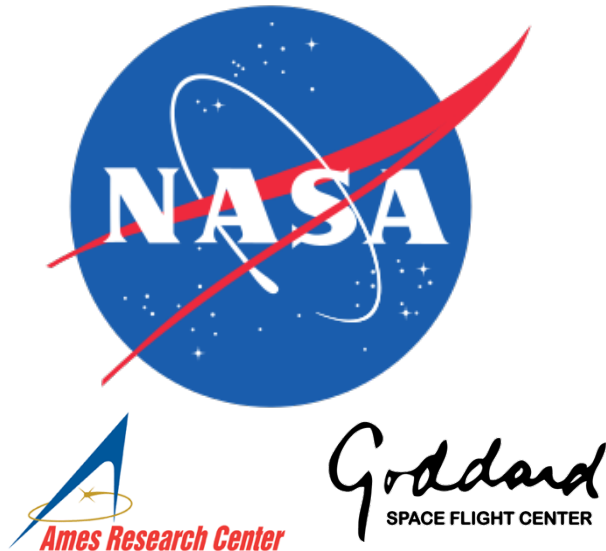


# Aerosol remote sensing with small satellites in formation flight

Kirk Knobelspiesse<sup>1</sup> and Sreeja Nag

*NASA Goddard Space Flight Center and NASA Ames Research Center*



<sup>1</sup> funding generously provided by the NASA New (Early Career) Investigator Program in Earth Science

# Synopsis

**Motivation** potential of small satellite formations

## **Background**

- Coupled Model Based Systems Engineering (MBSE) +
- Information content based Observing System Simulation Experiment (OSSE)

## **Approach**

- Transform prior BRDF analysis for aerosol remote sensing
- Information content analysis details - sensor and simulations

## **Results**

- Example BRDF results. Example Jacobian BRDF
- Degrees of Freedom
- Relative Aerosol Optical Thickness predicted uncertainty
- Fine mode effective radius predicted uncertainty

## **Conclusions**

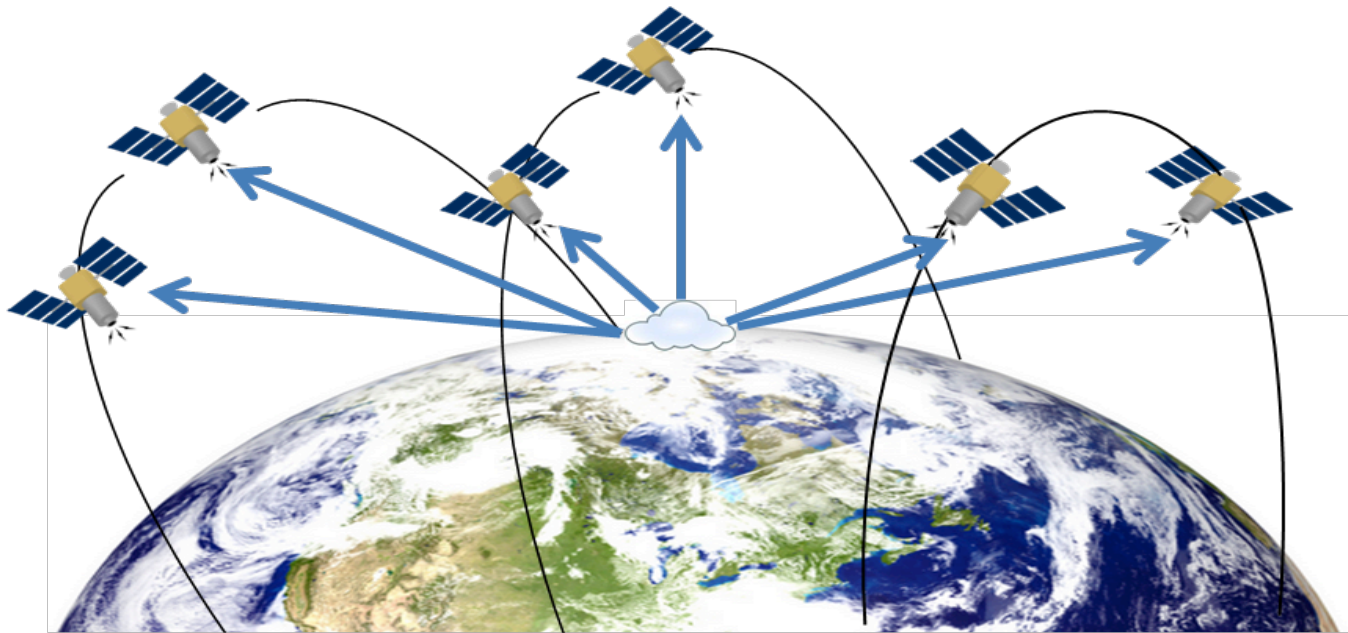
# Formation flight – *alternate aerosol remote sensing paradigm?*

We need more information: *multiple spectra, multiple view angles, multiple polarization states*

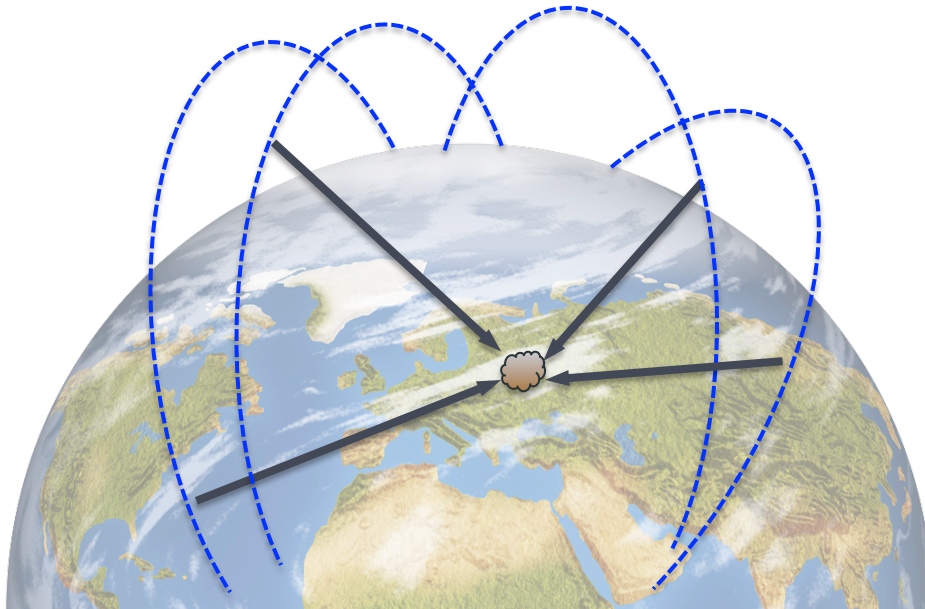
So far, we've put this all on a single instrument/platform

New cube/small satellite technology means observation can be dispersed...

... can there be a benefit to doing this?

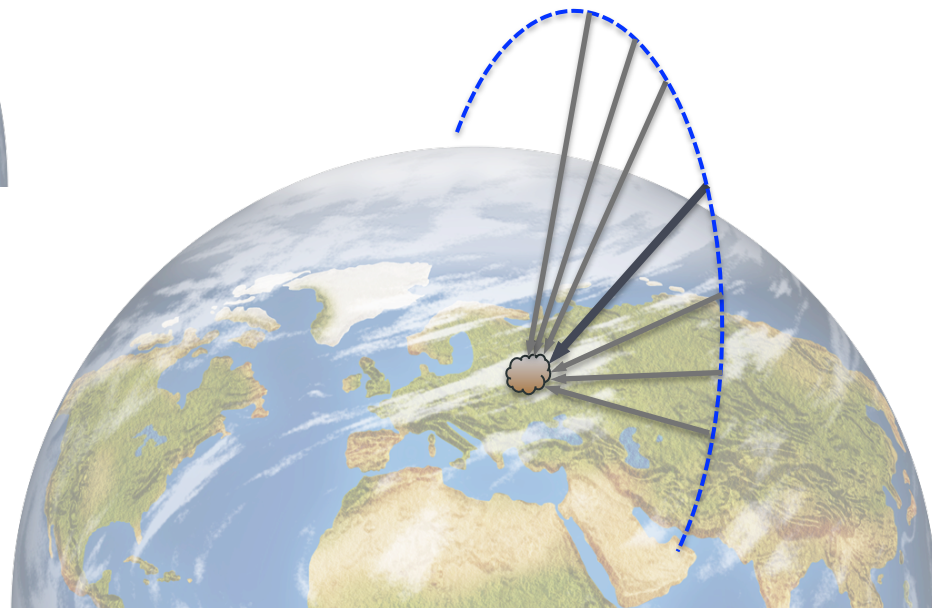


# Formation flight: access alternate view geometries



**Distributed viewing geometry:**  
Independent spacecraft observe a common location, variety of view zenith, azimuth angles

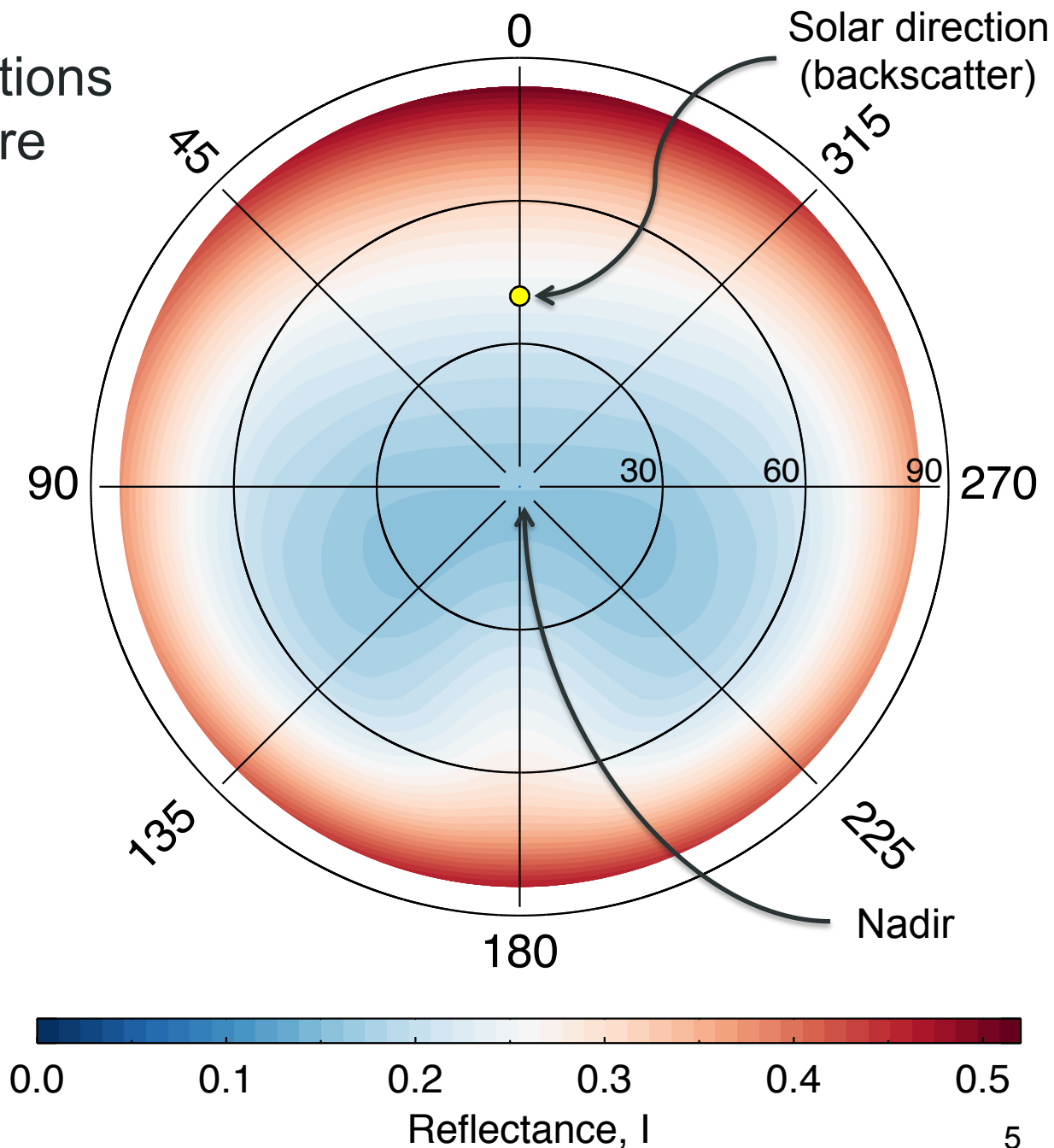
**Single spacecraft**  
Multiple views in one plane





Multi-angle observations  
at Top of Atmosphere  
(TOA) *sample* the:

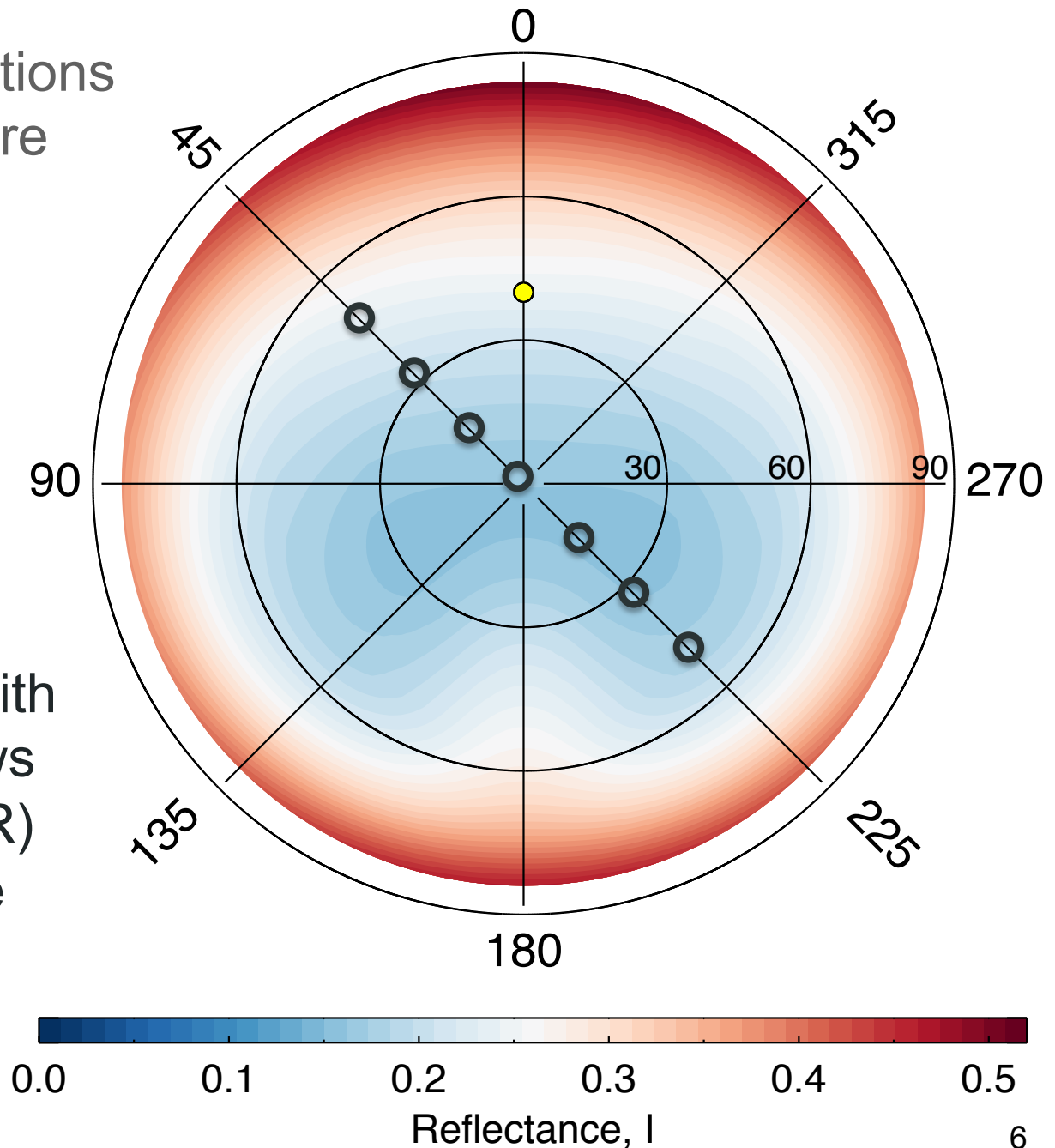
total Bidirectional  
Reflectance  
Distribution  
Function (BRDF)



Multi-angle observations  
at Top of Atmosphere  
(TOA) *sample* the:

total Bidirectional  
Reflectance  
Distribution  
Function (BRDF)

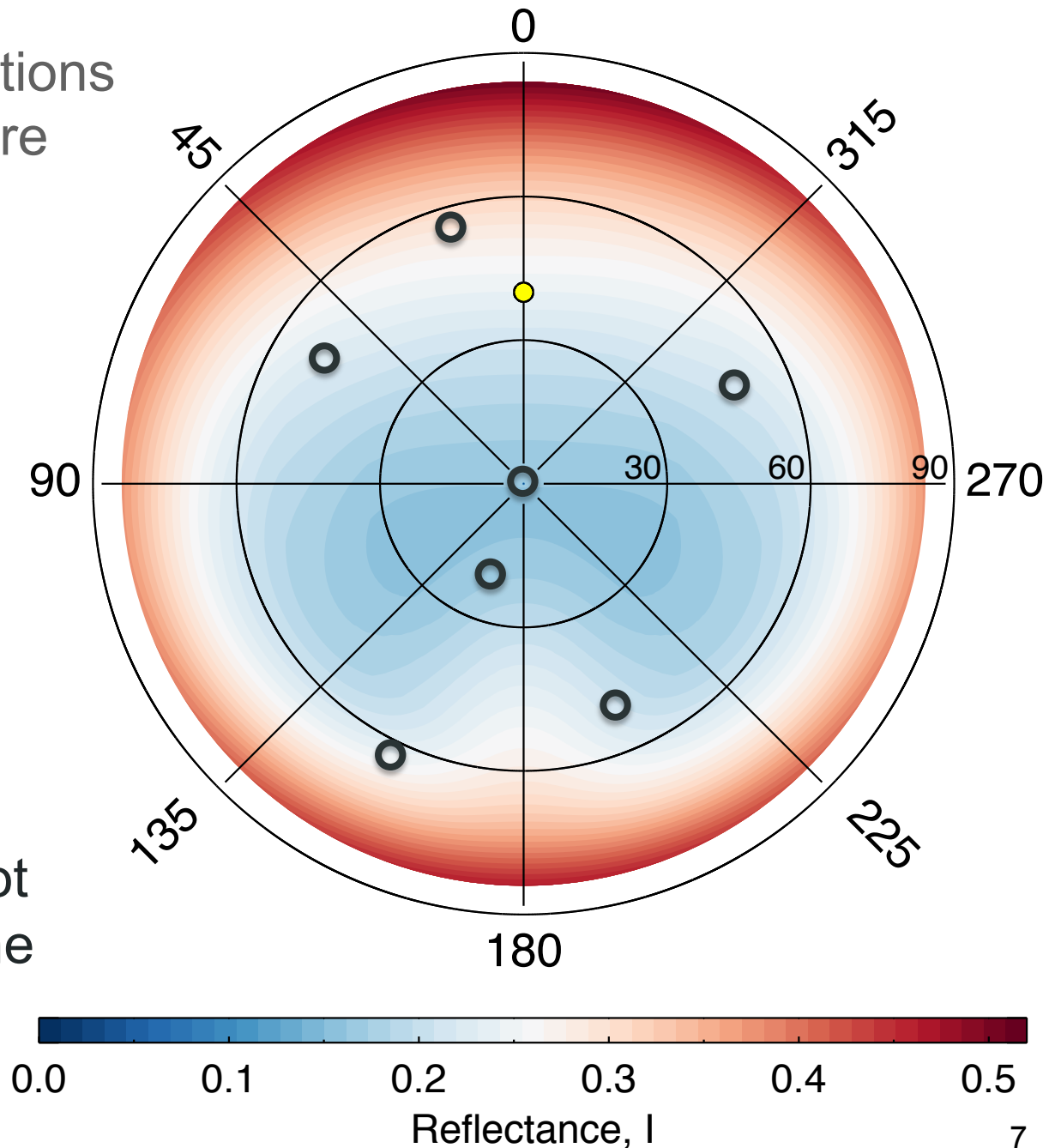
“single” platforms with  
multiple angle views  
(ie POLDER, MISR)  
sample in a plane



Multi-angle observations  
at Top of Atmosphere  
(TOA) *sample* the:

total Bidirectional  
Reflectance  
Distribution  
Function (BRDF)

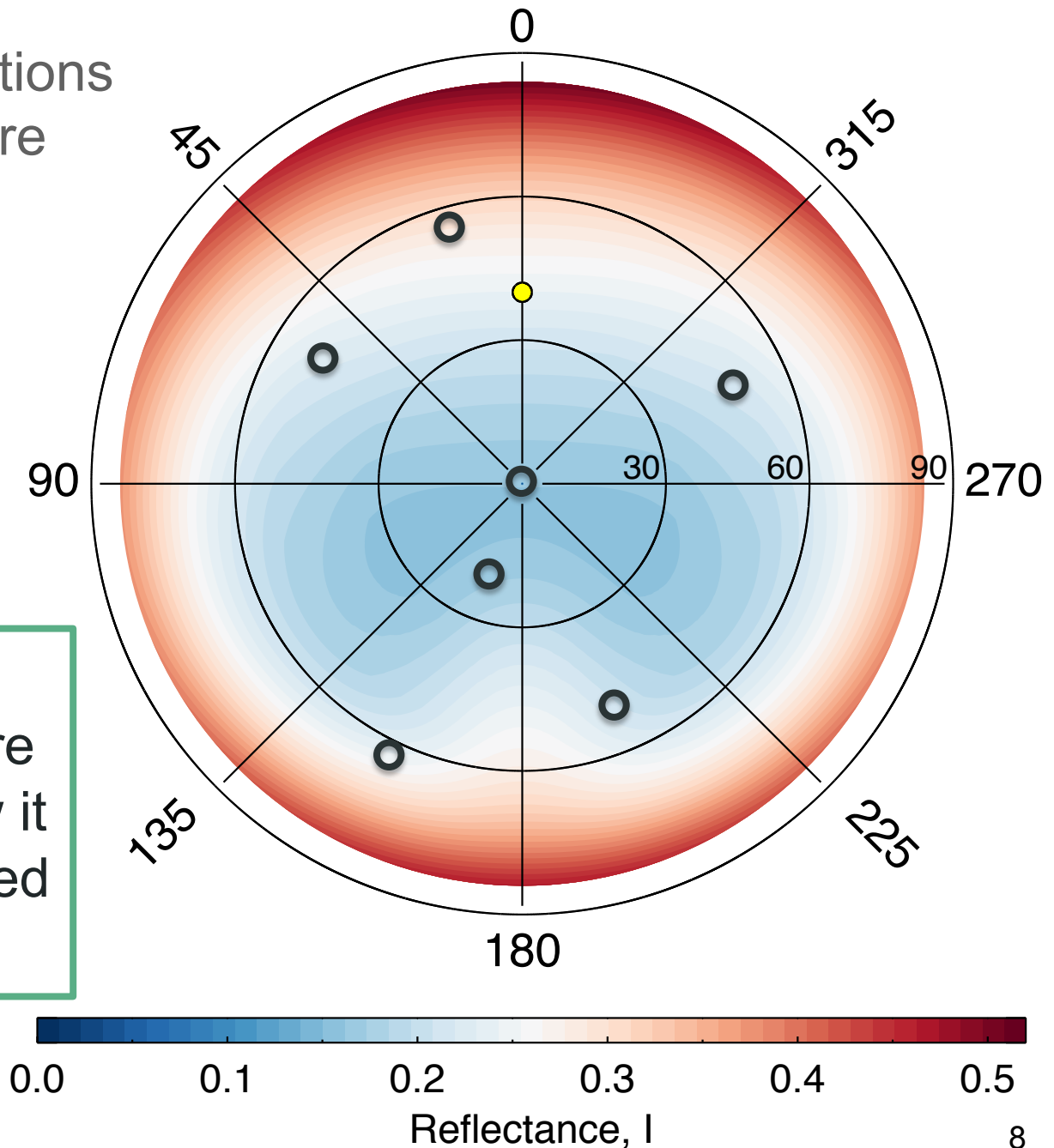
Samples from  
constellations of  
(single view)  
instruments are not  
restricted to a plane



Multi-angle observations  
at Top of Atmosphere  
(TOA) *sample* the:

total Bidirectional  
Reflectance  
Distribution  
Function (BRDF)

Is this useful?  
Depends on nature  
of BRDF, and how it  
incorporates desired  
information



# Conversion of Sreeja Nag's analysis for surface BRDF remote sensing to aerosol remote sensing



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## International Journal of Applied Earth Observation and Geoinformation

journal homepage: [www.elsevier.com/locate/jag](http://www.elsevier.com/locate/jag)



### Observing system simulations for small satellite formations estimating bidirectional reflectance



Sreeja Nag<sup>a,b,\*</sup>, Charles K. Gatebe<sup>b,c</sup>, Olivier de Weck<sup>a</sup>

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<sup>b</sup> NASA Goddard Space Flight Center, Greenbelt, MD 20771, USA

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OSSE

#### ABSTRACT

The bidirectional reflectance distribution function (BRDF) gives the reflectance of a target as a function of illumination geometry and viewing geometry, hence carries information about the anisotropy of the surface. BRDF is needed in remote sensing for the correction of view and illumination angle effects (for example in image standardization and mosaicing), for deriving albedo, for land cover classification, for cloud detection, for atmospheric correction, and other applications. However, current spaceborne instruments provide sparse angular sampling of BRDF and airborne instruments are limited in the spatial and temporal coverage. To fill the gaps in angular coverage within spatial, spectral and temporal require-

Nag, S., C.K. Gatebe, O. de Weck, **Observing system simulations for small satellite formations estimating bidirectional reflectance**, *Int. J. of Applied Earth Observation and Geoinformation*, 43, Dec. 2015, pp 102-118.

Nag, S., C.K. Gatebe, D.W. Miller, O. de Weck, **Effect of satellite formations and imaging modes on global albedo estimation**, *Acta Astronautica*, 126, Sept. 2016, pp. 77-97.

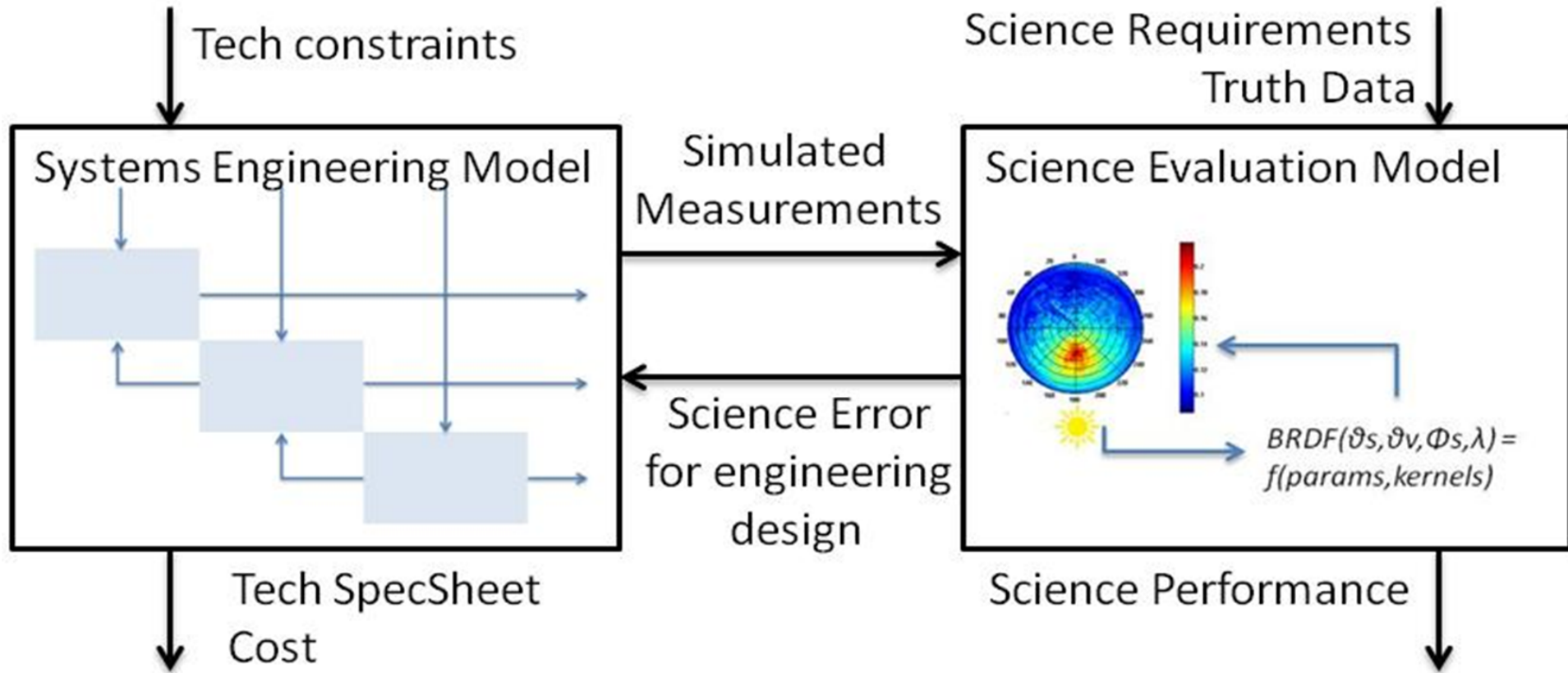
Nag, S., C.K. Gatebe, T. Hilker, **Simulation of Bidirectional Reflectance-Distribution Function Measurements using Small Satellite Formations**, *IEEE J. of Selected Topics in Applied Earth Observations and Remote Sensing*, accepted in April 2016, in press

possible.

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# Coupled Model Based Systems Engineering (MBSE) and Observing System Simulation Experiment (OSSE) tools



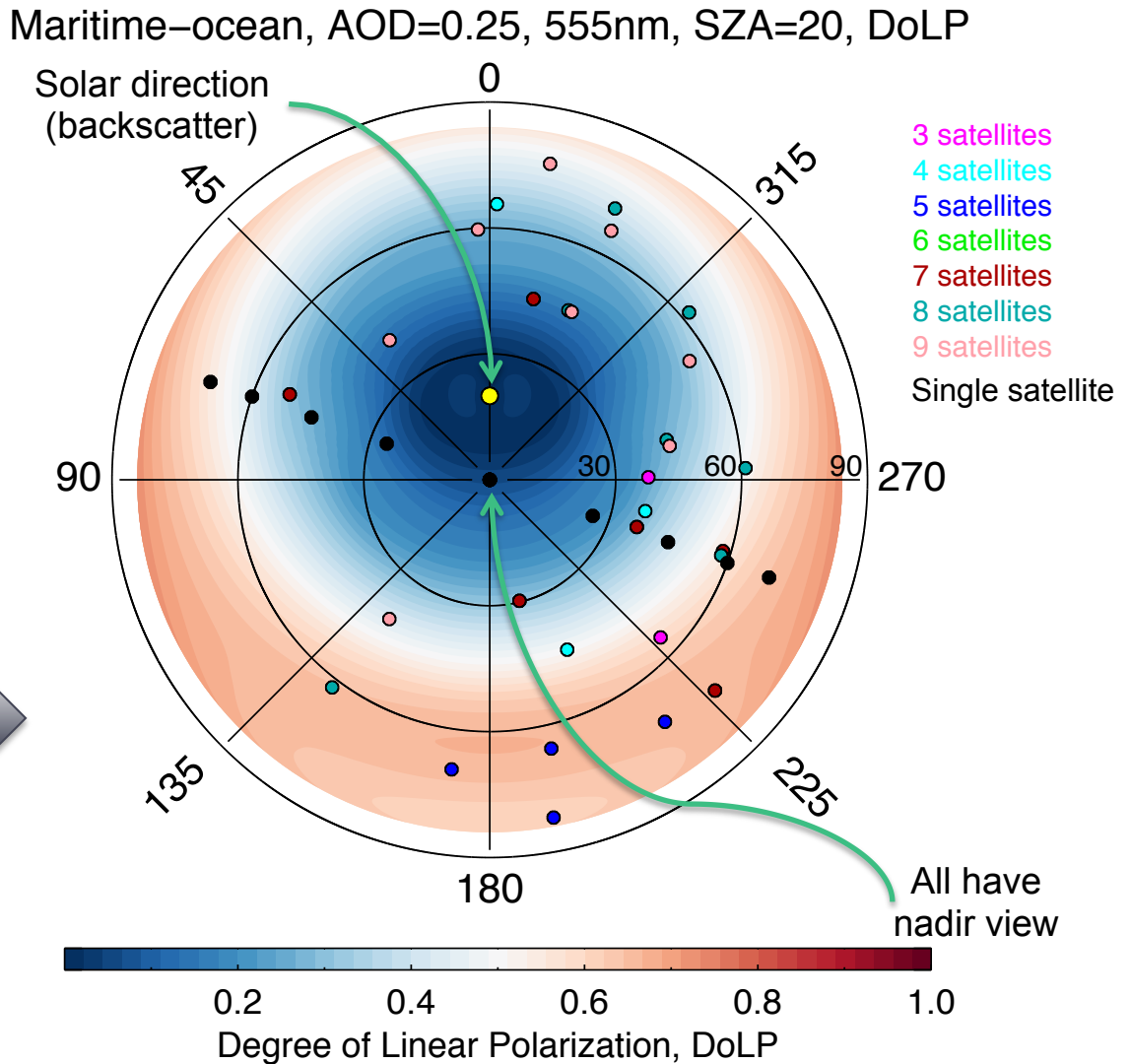
# MBSE model predicted orbit geometries

MBSE model predicts 8 different configurations

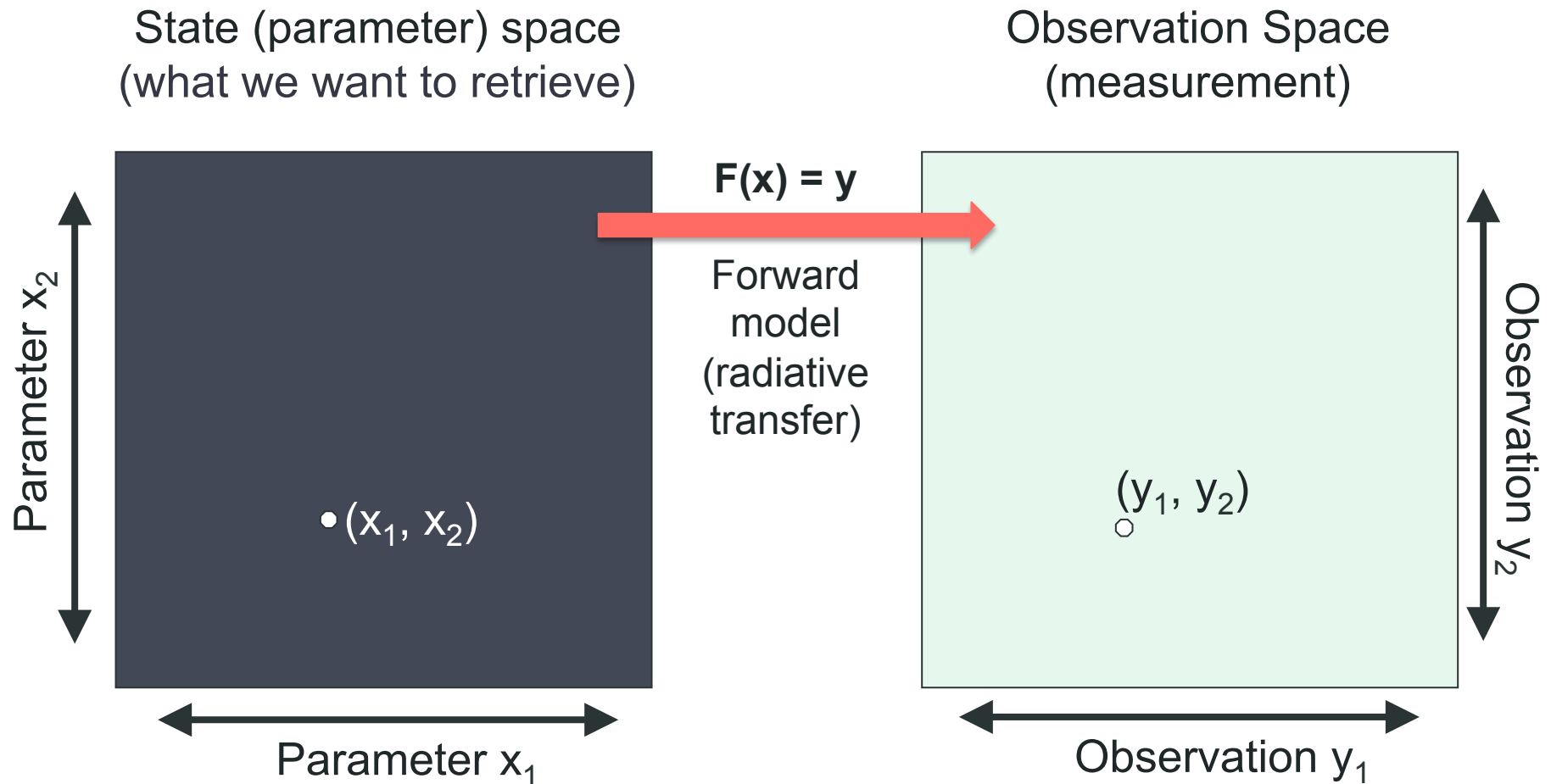
- 3 through 9 satellites flying in formation, tracking nadir observing satellite
- 9 view angle single satellite

Geometries for 100+ daytime observations

Example of a single observation →



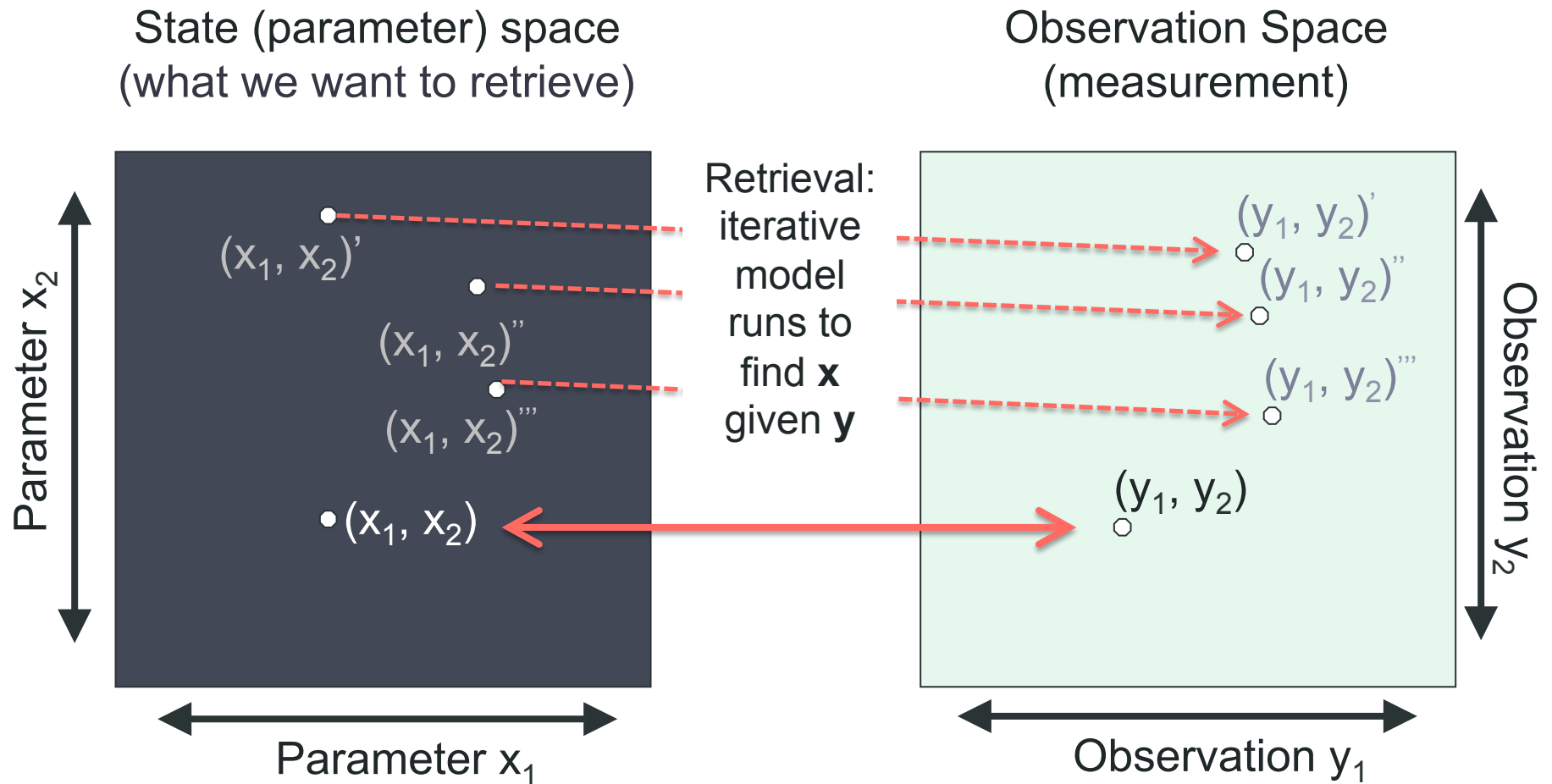
# OSSE: information content assessment



Knobelspiesse, K. et al. (2012) Analysis of fine-mode aerosol retrieval capabilities by different passive remote sensing instrument designs *Optics Express*, 20 (19).

Rodgers, C. D. (2000). Inverse Methods for Atmospheric Sounding: Theory and Practice, World Scientific, Singapore.

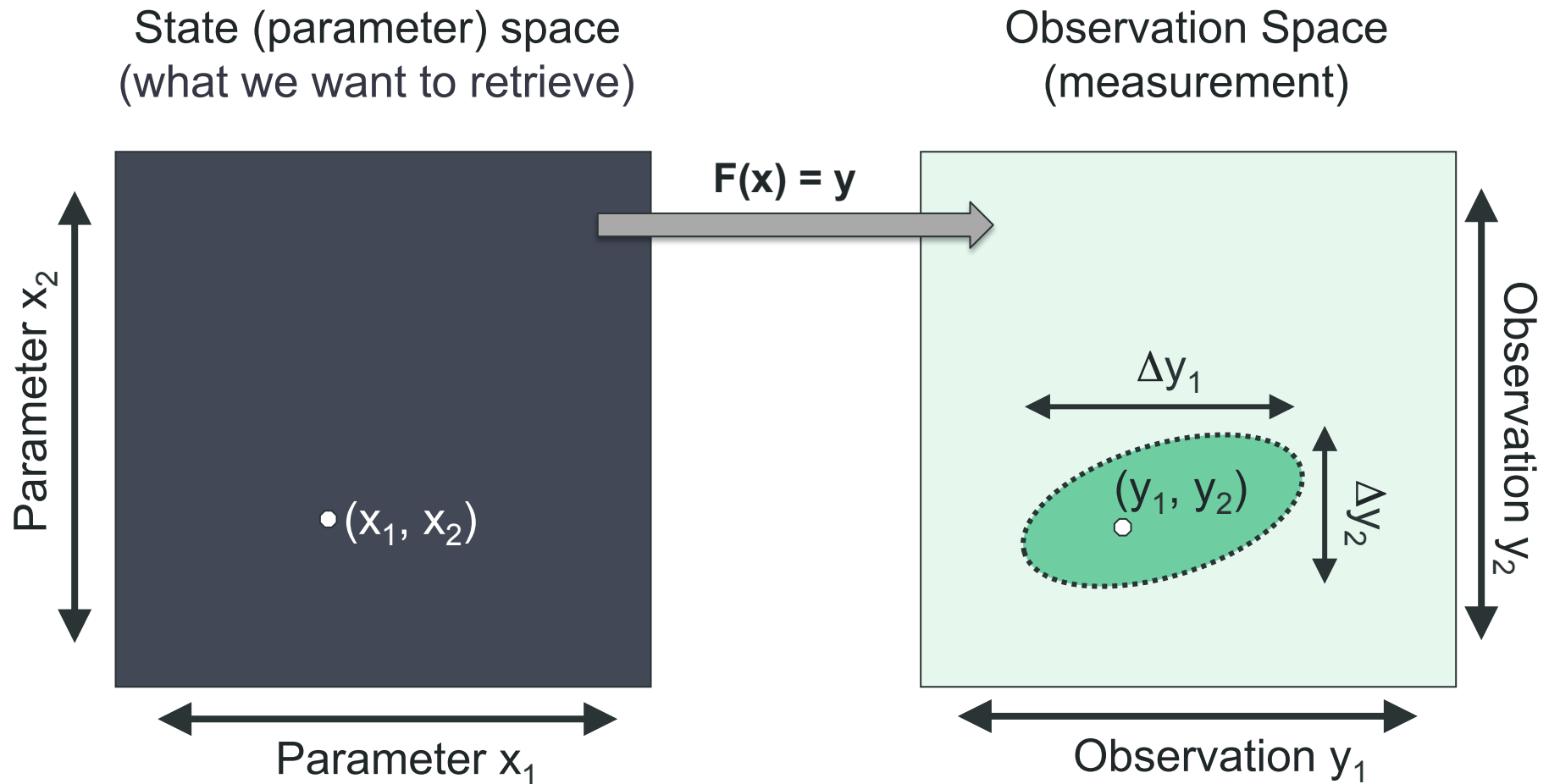
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# OSSE: information content assessment

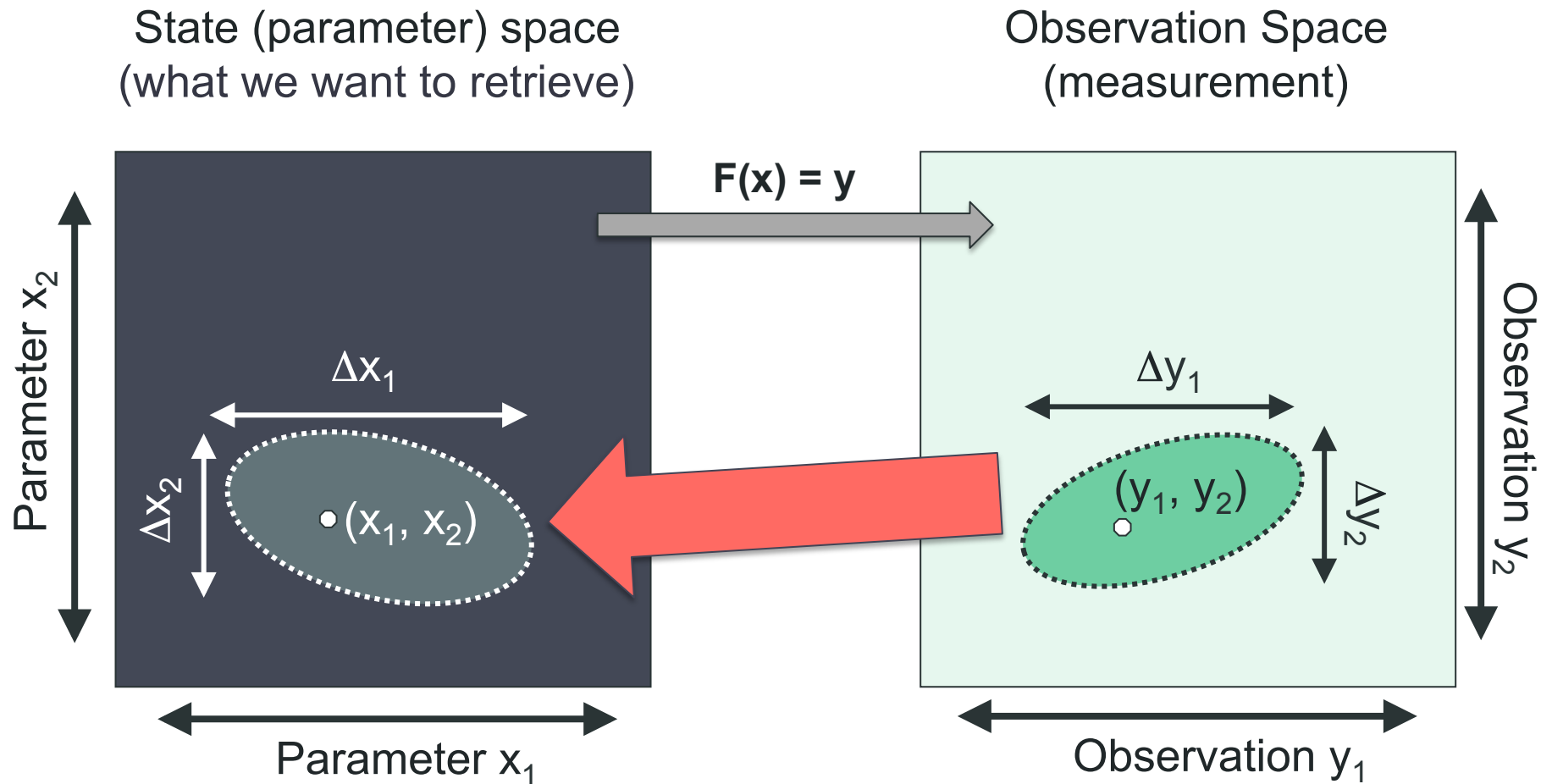


Knobelspiesse, K. et al. (2012) Analysis of fine-mode aerosol retrieval capabilities by different passive remote sensing instrument designs *Optics Express*, 20 (19).

Rodgers, C. D. (2000). Inverse Methods for Atmospheric Sounding: Theory and Practice, World Scientific, Singapore.



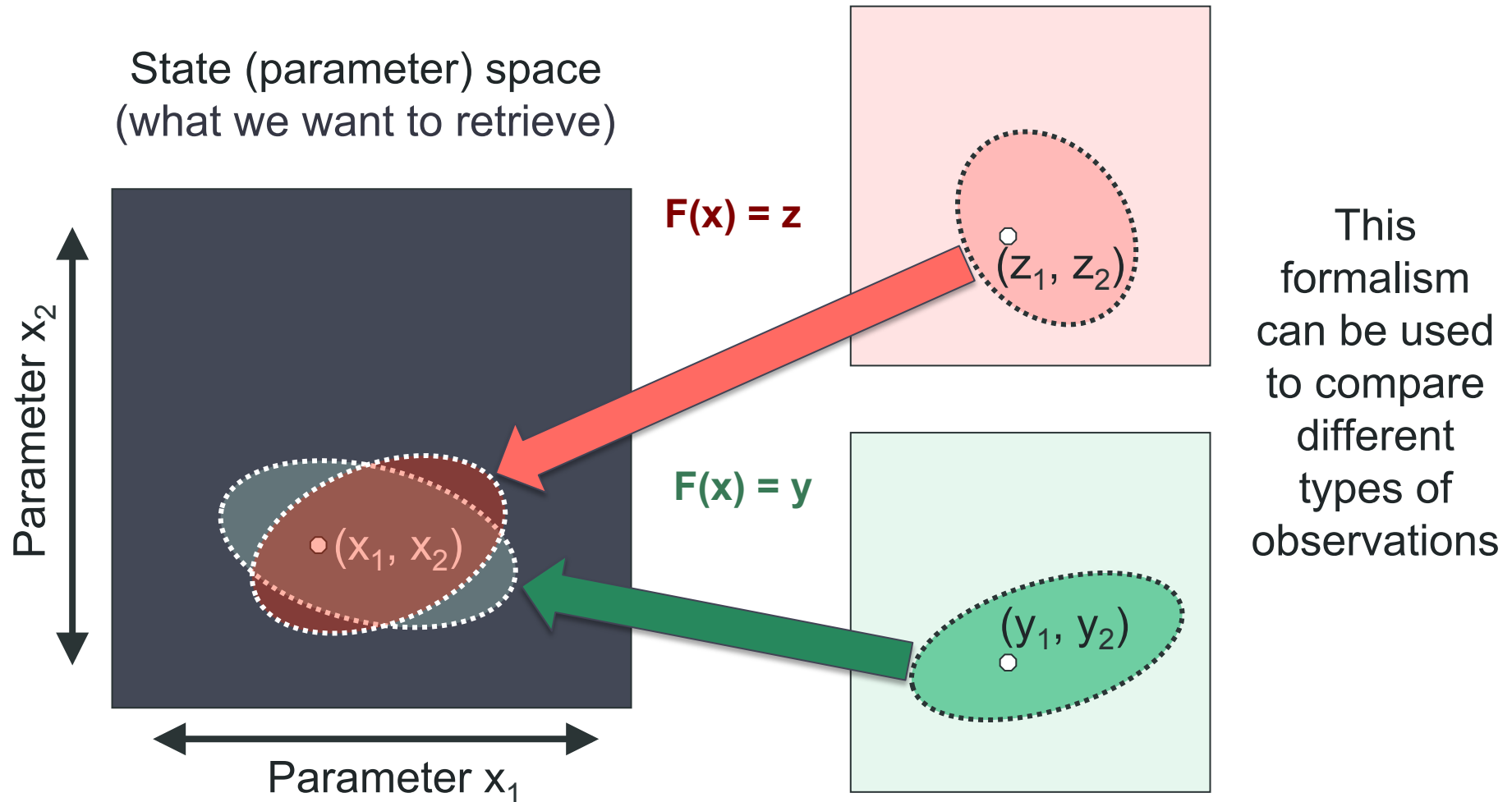
# OSSE: information content assessment



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# OSSE: information content assessment



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Rodgers, C. D. (2000). Inverse Methods for Atmospheric Sounding: Theory and Practice, World Scientific, Singapore.

# OSSE: Information content assessment

**Jacobian matrix:** model  
calculated parameter sensitivity

$$K_{i,j} = \partial F_i(x) / \partial x_j$$

**Measurement error  
covariance matrix:** how  
we specify instrument  
characteristics

**A priori matrix:**  
parameter knowledge  
before observation

$$\hat{S} = \left[ K^T S_{\varepsilon}^{-1} K + S_a^{-1} \right]^{-1}$$

**Retrieval error covariance matrix:** expected  
parameter uncertainty, overall metrics for info  
such as degrees of freedom

Degrees of Freedom (DoF) for signal

$$d_s = \text{trace} \left( \left[ K^T S_{\varepsilon}^{-1} K + S_a^{-1} \right]^{-1} K^T S_{\varepsilon}^{-1} K \right)$$

*“Essentially, all models are wrong, but some are useful” – George Box*

# OSSE: Information content assessment

*“Essentially, all models are wrong, but some are useful” – George Box*

## Caveats

This method predicts retrieval uncertainty for a system with

- Perfect knowledge of observation uncertainty
- Perfect radiative transfer (forward) model
- Perfect ability to retrieve solution from observation



And we  
are far  
from  
perfect!

The results can be considered a ‘**best case scenario**’

Measurement system **can’t do better than this** without adding information (such as constraints)

Considers an **unconstrained** retrieval over free parameters

This is a powerful technique for **relative** comparisons between measurement systems – assumptions are uniform

# Simulation approach



# Simulated instrument characteristics

## Common to all

Wavelengths: 0.35, 0.41, 0.555, 0.865, 2.25  $\mu\text{m}$

Radiometric uncertainty: 3%, polarimetric uncertainty: 0.5%

## Radiometers

- multi-angle (9) vs. distributed single view (3-9)

## Polarimeters

- multi-angle (9) vs. distributed single view (3-9)

(16 observation systems in total)

# Simulated scenes (based on AERONET, Dubovik et al 2002)

## **Maritime aerosol over an open ocean, AOT(555nm) = 0.05, 0.15, 0.25**

Aerosol: Fraction of AOT(555nm) in fine mode: 36%

Refractive index: 1.37-i0.001

Fine size mode:  $r_{\text{eff}}=0.135\mu\text{m}$ ,  $v_{\text{eff}}=0.193$

Coarse size mode:  $r_{\text{eff}}=3.36\mu\text{m}$ ,  $v_{\text{eff}}=0.704$

Ocean: Chlorophyll-a = 0.03 mg/m<sup>3</sup>, Wind Speed = 8 m/s

**6 retrieval  
parameters**

## **Greenbelt aerosol over sparse vegetation, AOT(555nm) = 0.05, 0.15, 0.25**

Aerosol: Fraction of AOT(555nm) in fine mode: 90%

Refractive index: 1.40-i0.003

Fine size mode:  $r_{\text{eff}}=0.170\mu\text{m}$ ,  $v_{\text{eff}}=0.155$

Coarse size mode:  $r_{\text{eff}}=5.52\mu\text{m}$ ,  $v_{\text{eff}}=0.755$

Ground: surface BRDF specified by 3 spectrally invariant kernels  
(fresnel, volumetric geometric) + spectrally varying isotropic values (5)

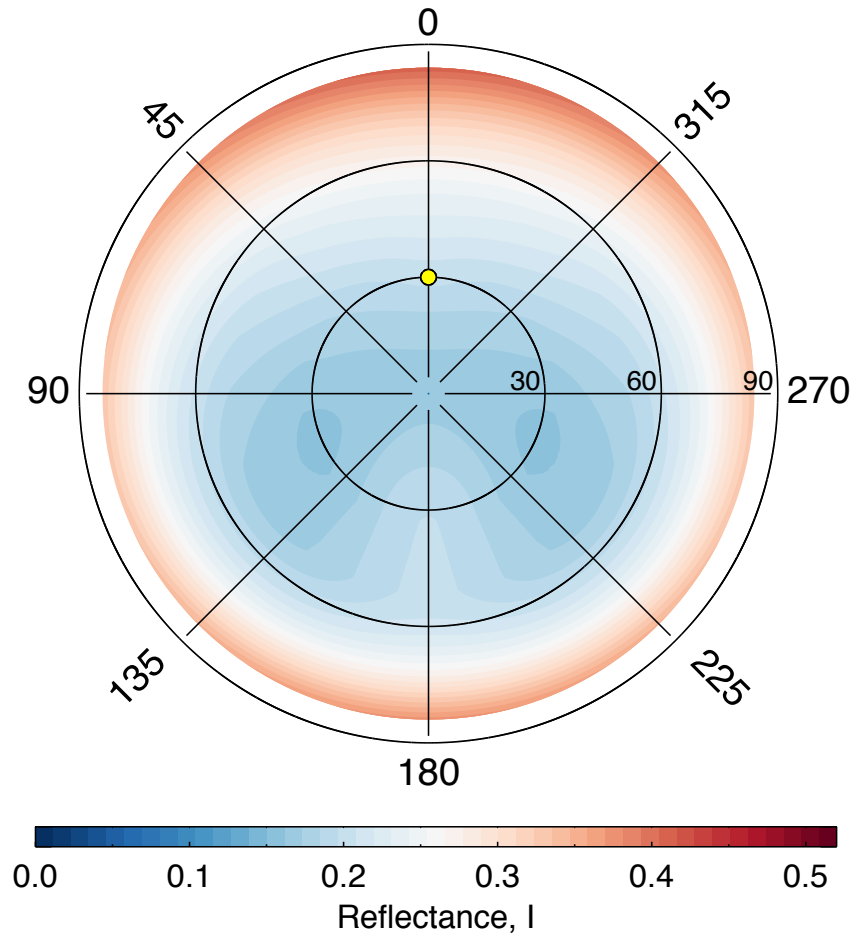
**12 retrieval  
parameters**

**(6 scenes x 16 observation systems x 100+ geometries)**

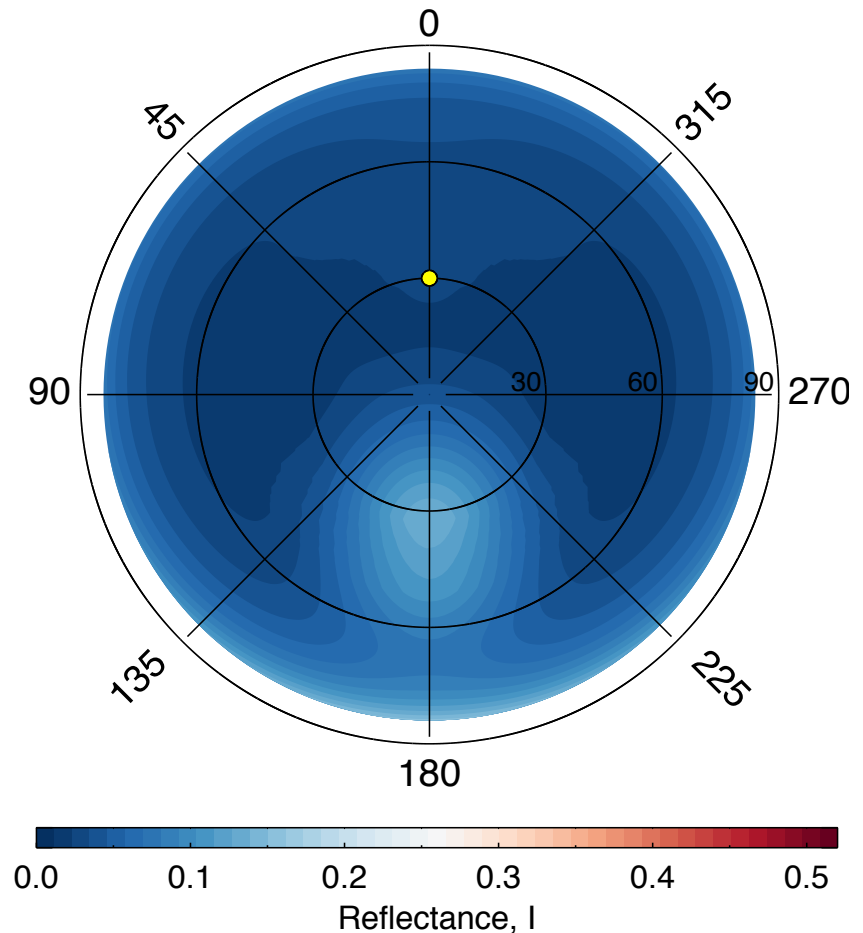
# Simulation results

# Maritime scene, AOT(555nm)=0.15, reflectance

Maritime-ocean, AOD=0.15, 410nm, SZA=30, I

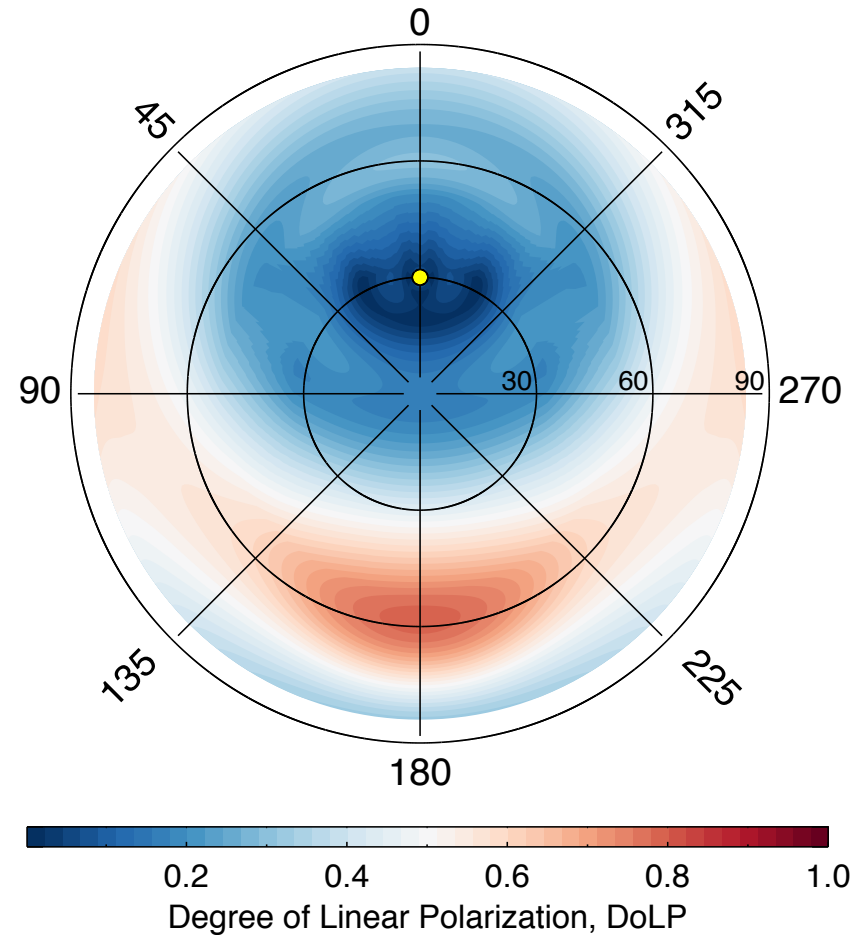
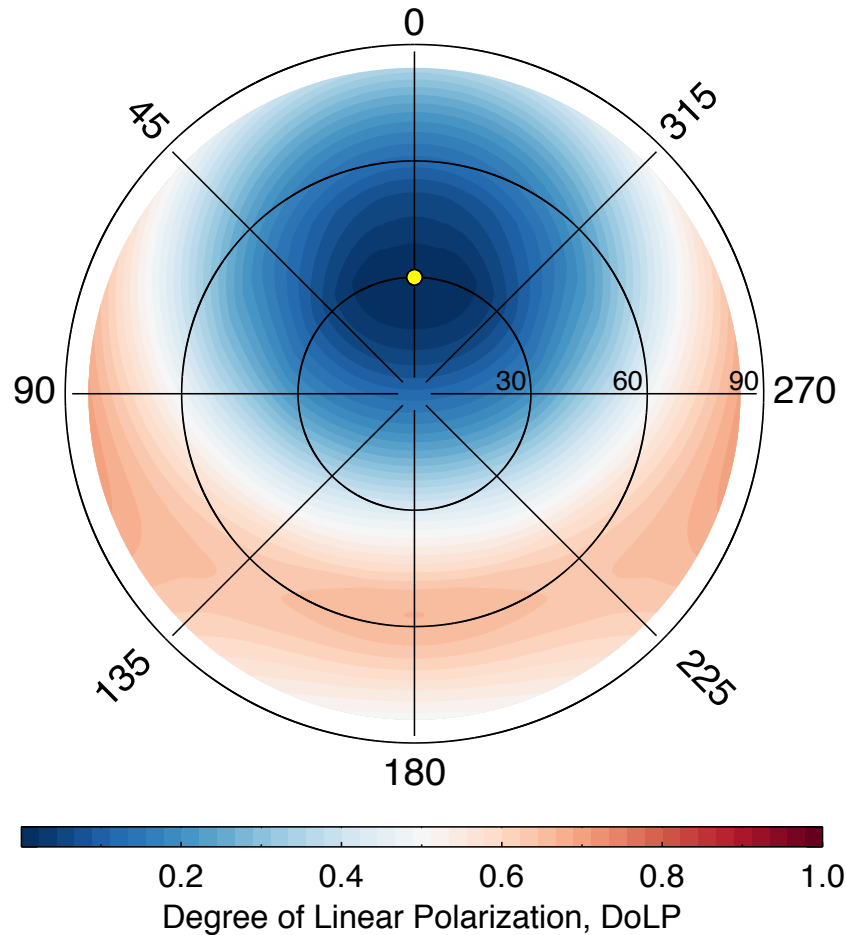


Maritime-ocean, AOD=0.15, 865nm, SZA=30, I



# Maritime scene, AOT=0.15, Degree of Linear Polarization (DoLP)

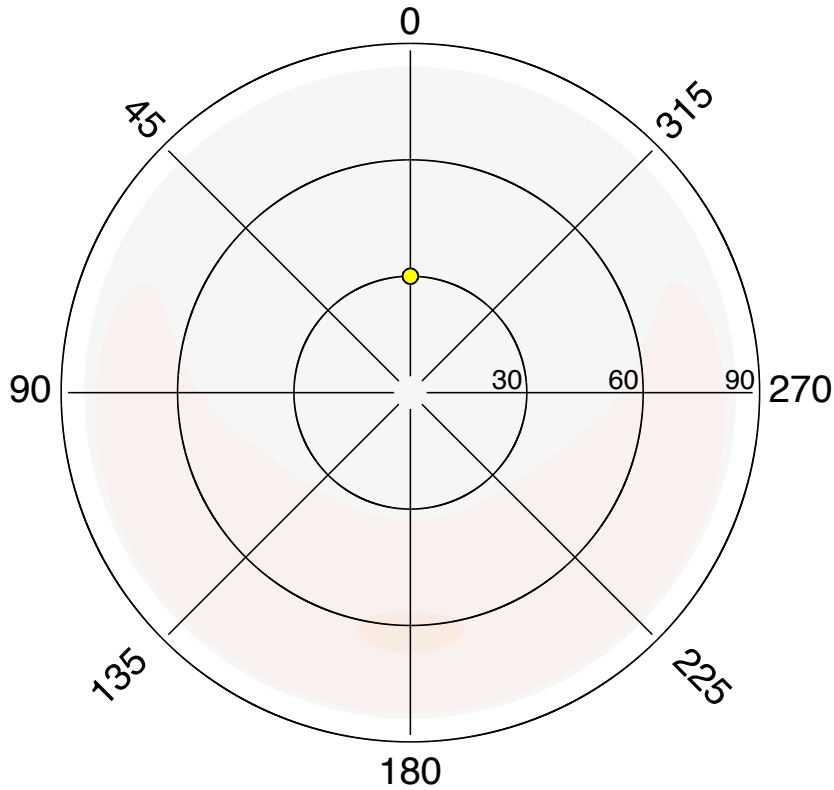
Maritime-ocean, AOD=0.15, 410nm, SZA=30, DoLP    Maritime-ocean, AOD=0.15, 865nm, SZA=30, DoLP





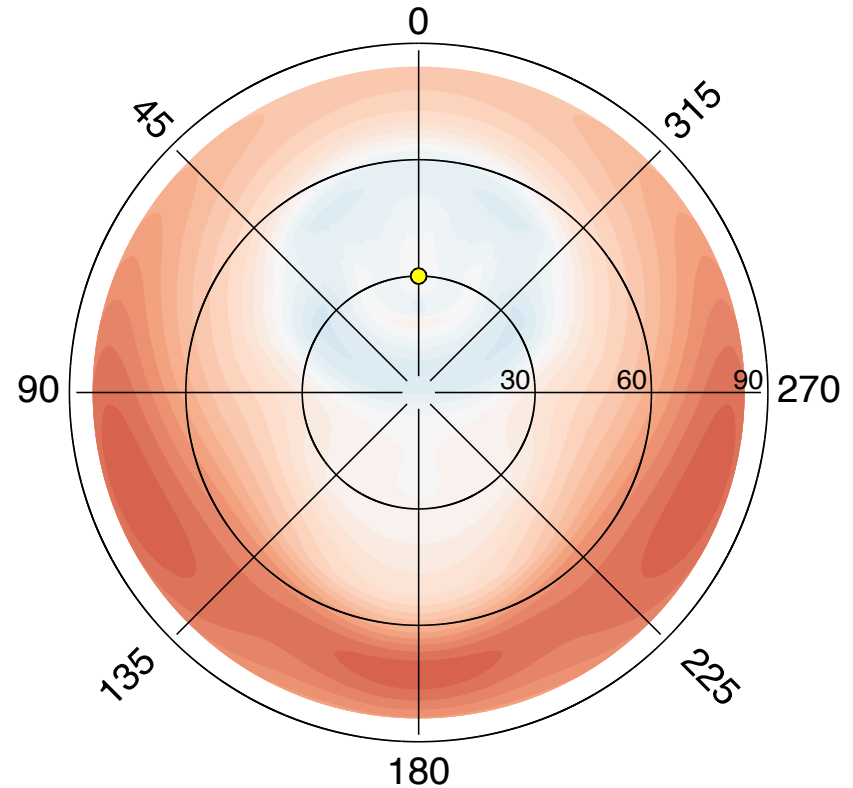
# Maritime scene, AOT=0.15, Jacobian (DoLP)

DoLP Jacobian: 410nm coarse mode AOT



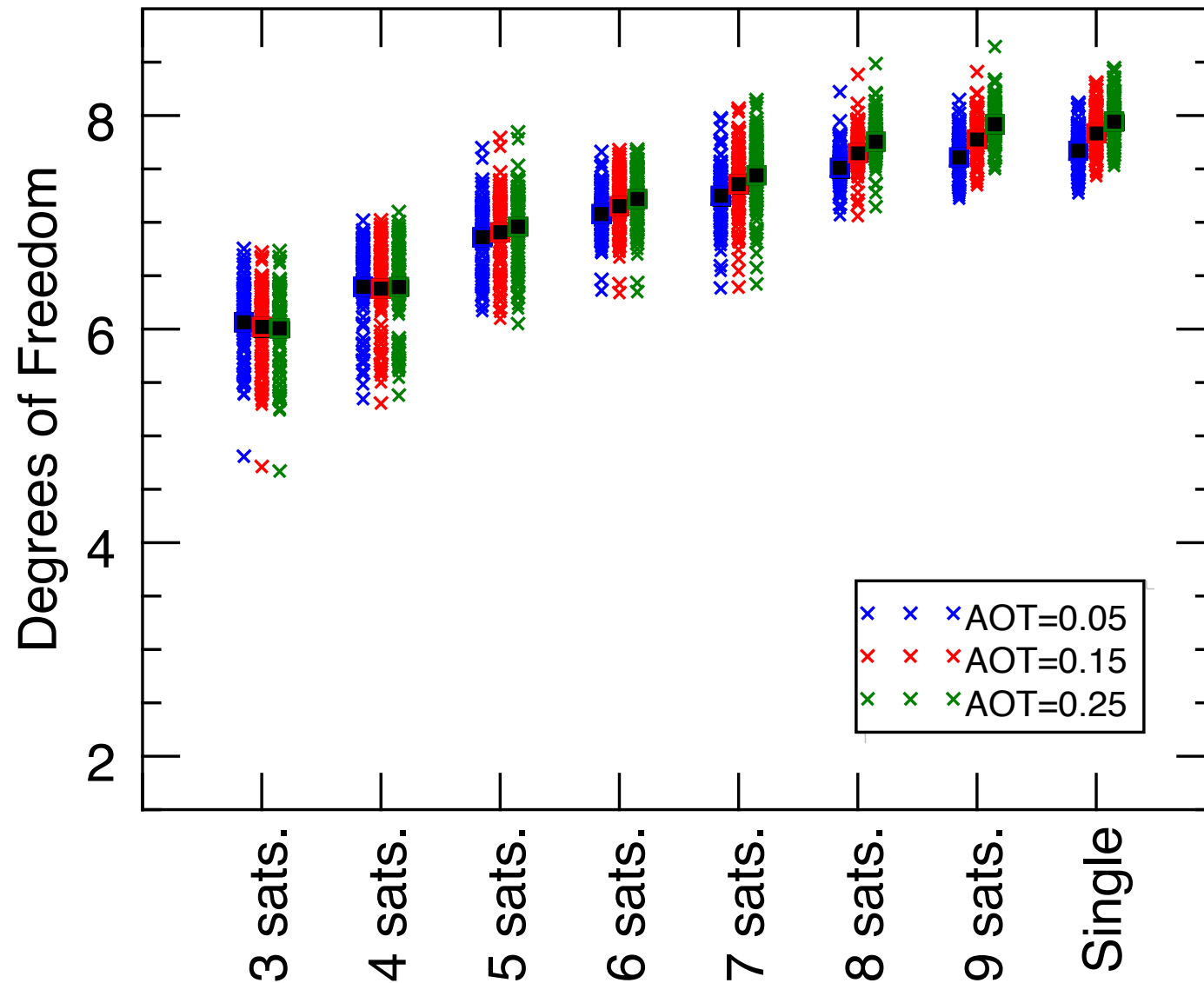
-3 -2 -1 0 1 2 3  
Sensitivity in Degree of Linear Polarization, DoLP

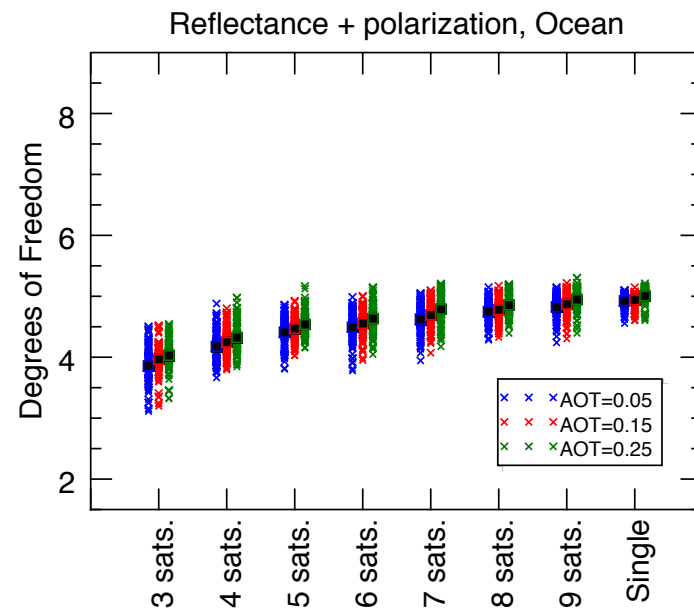
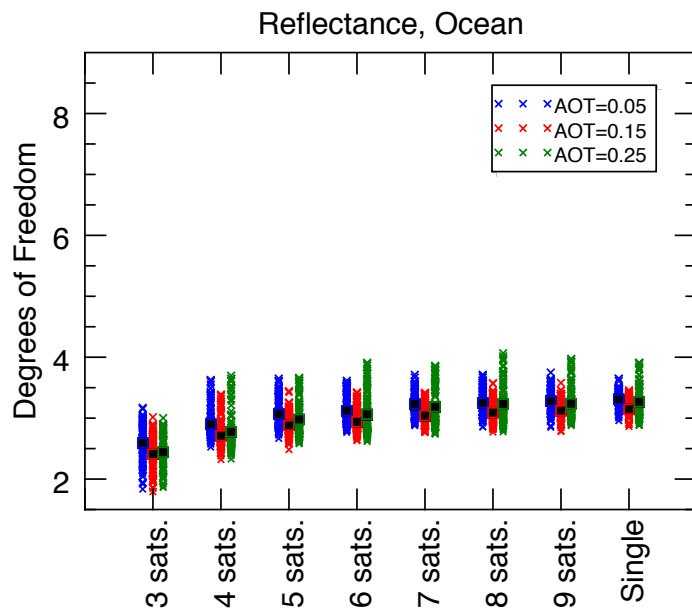
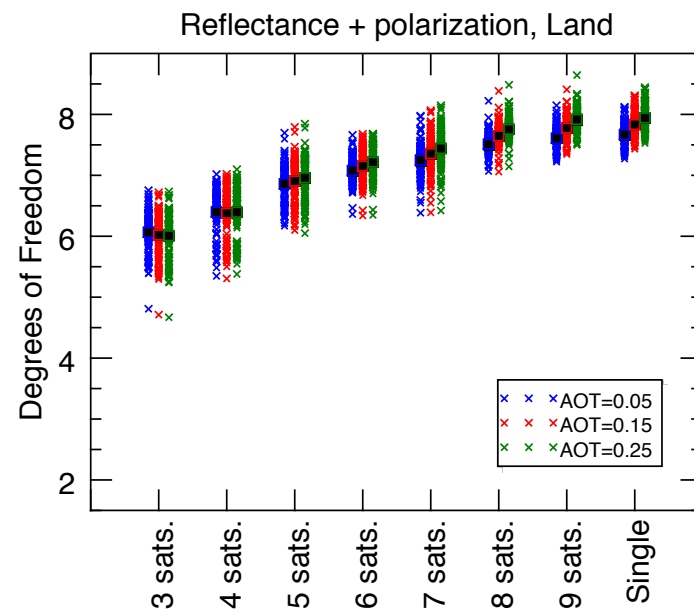
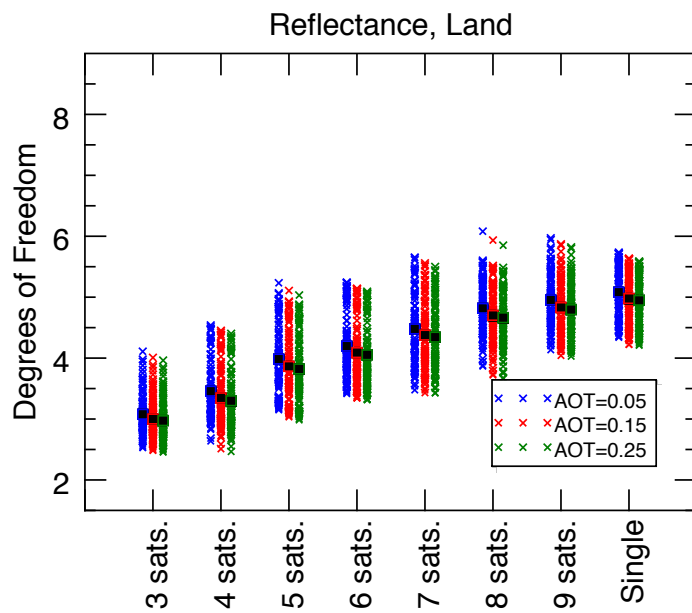
DoLP Jacobian: 865nm coarse mode AOT



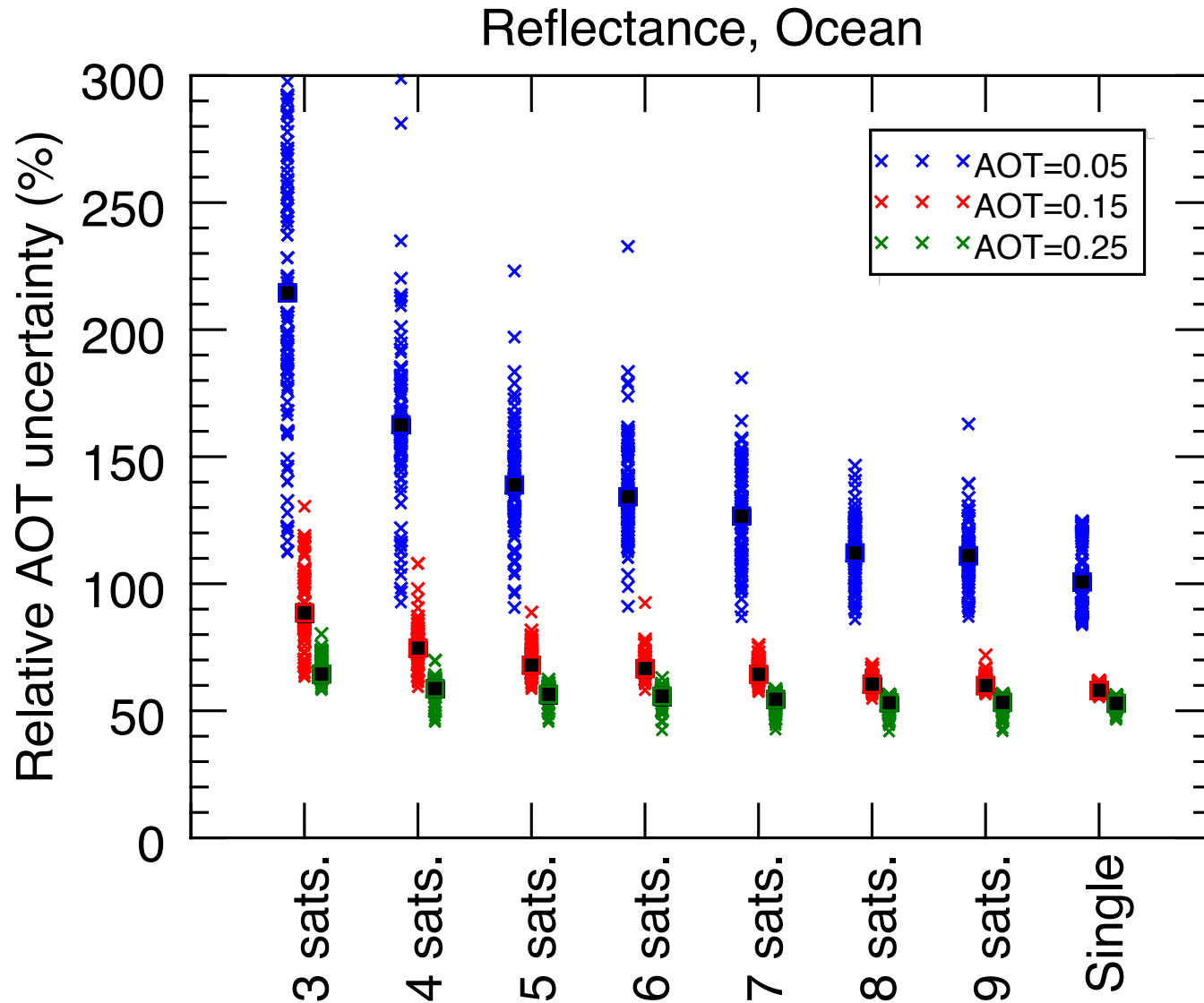
-3 -2 -1 0 1 2 3  
Sensitivity in Degree of Linear Polarization, DoLP

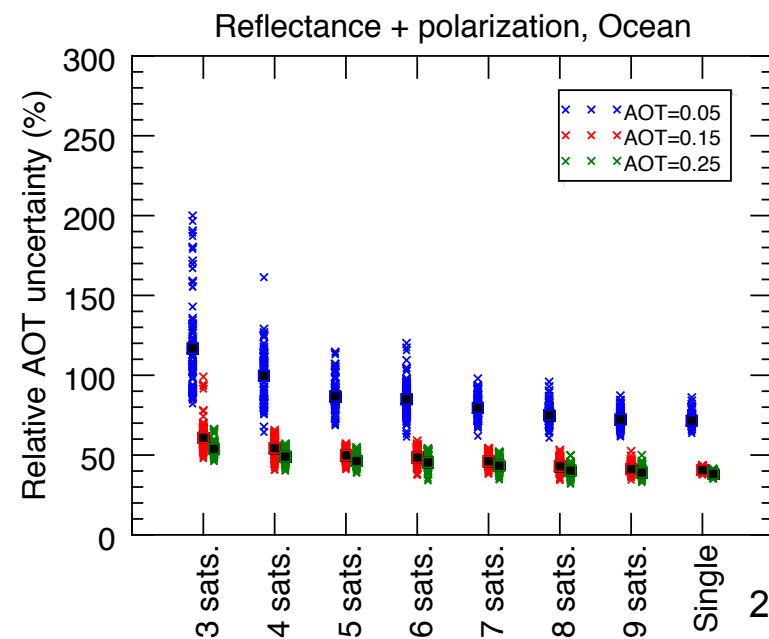
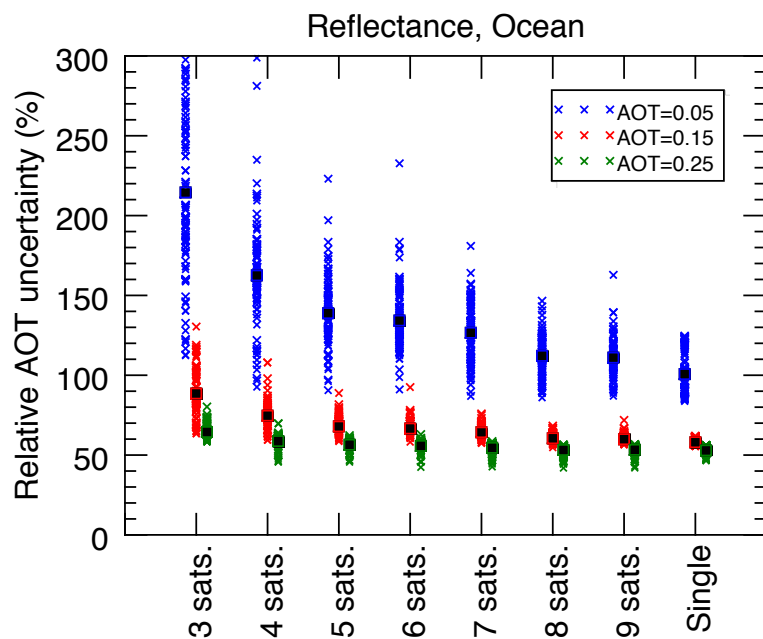
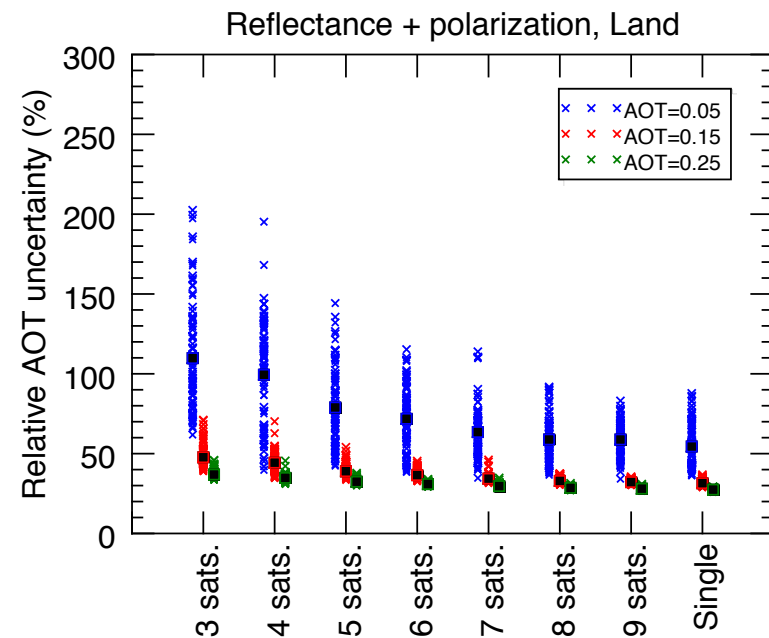
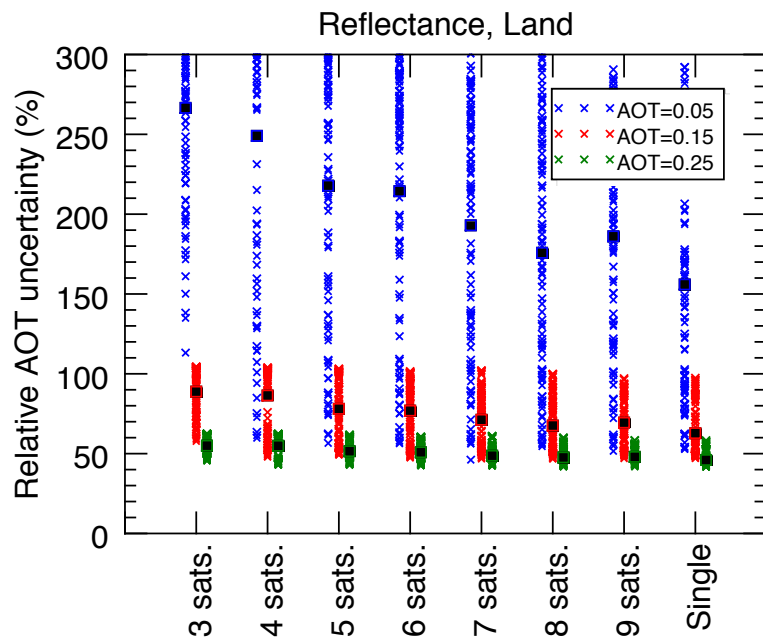
# Degrees of freedom: aerosols over land, polarimeters



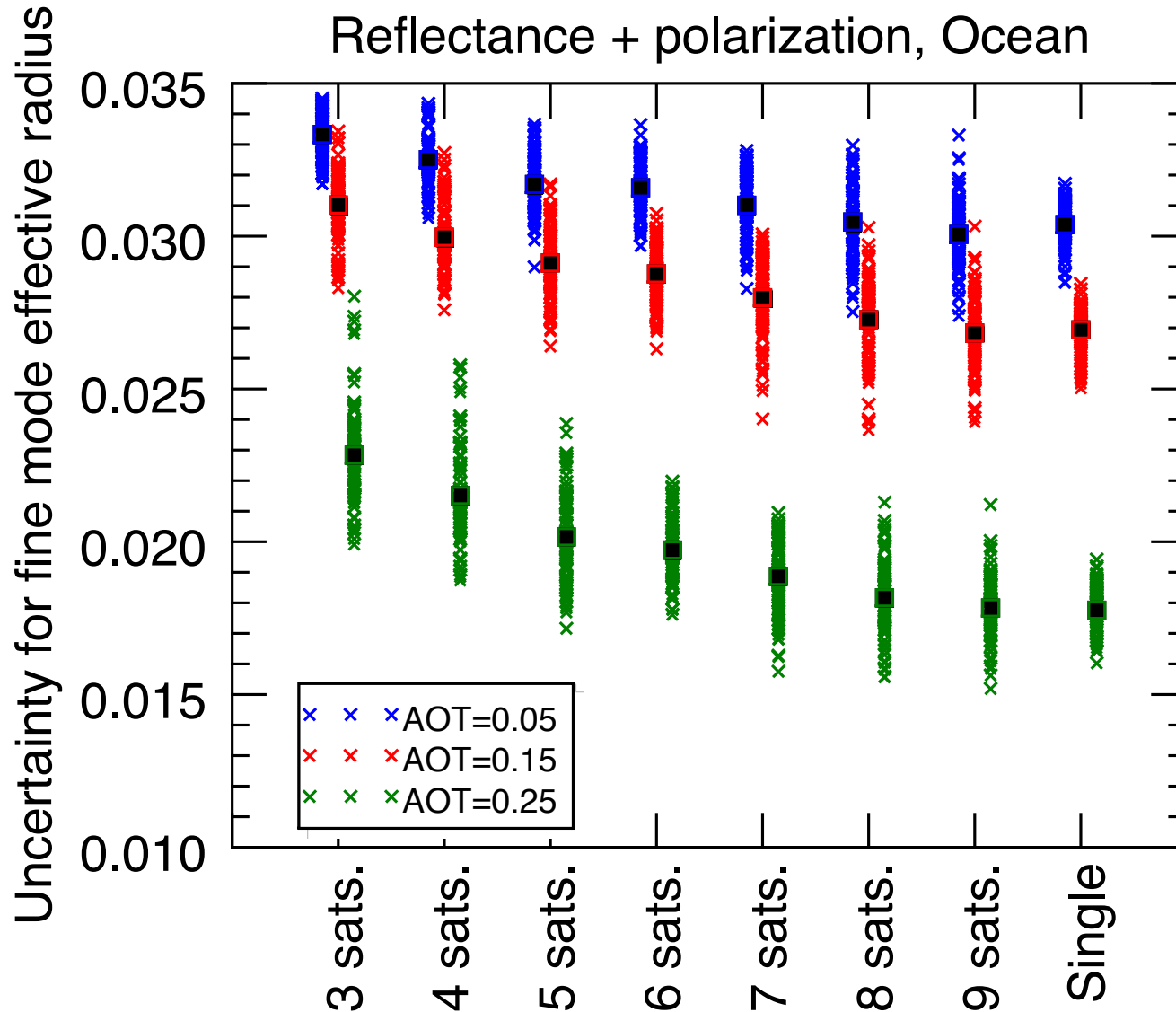


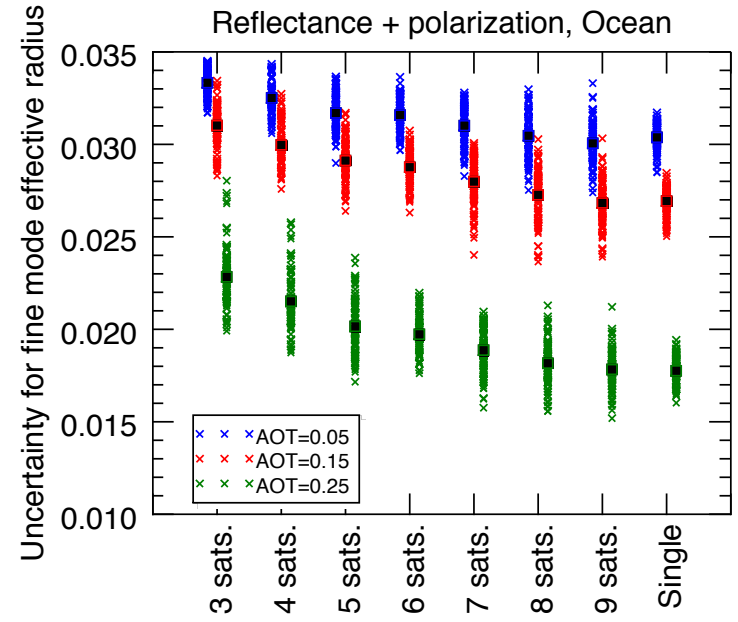
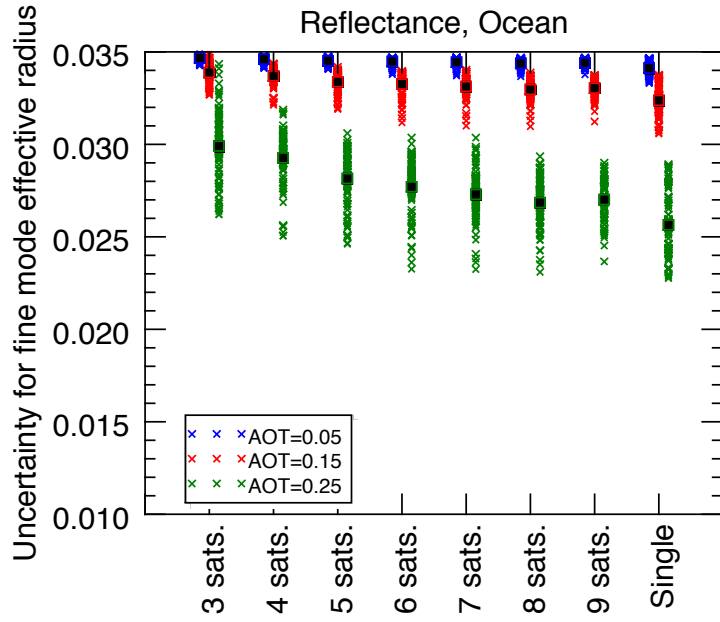
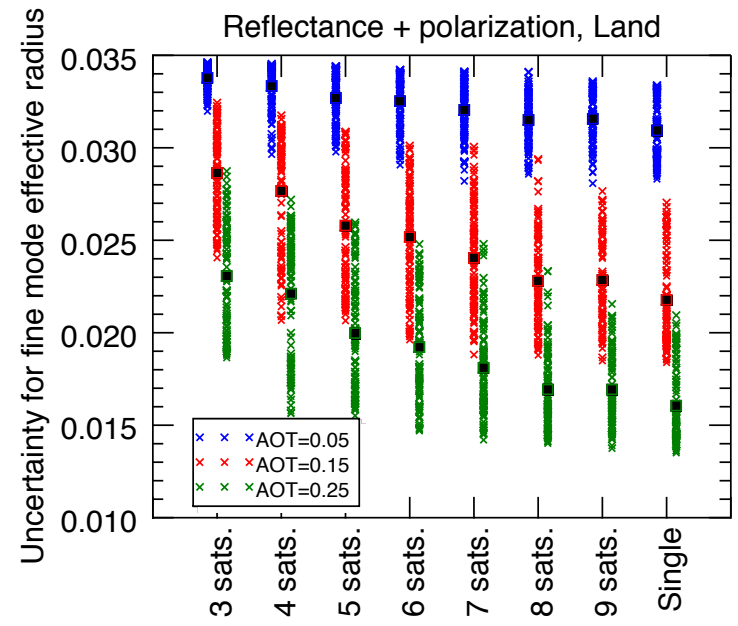
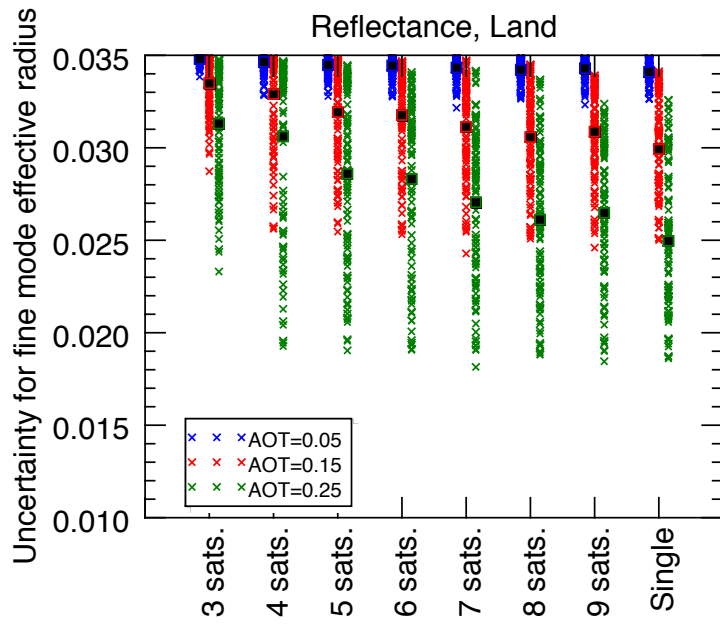
# Aerosol Optical Thickness results, reflectance only





# Fine mode effective radius





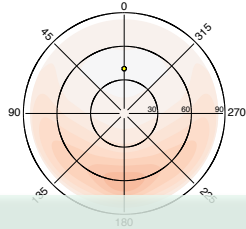
# Conclusions

Obviously, more angles means more DoF, lower parameter uncertainty

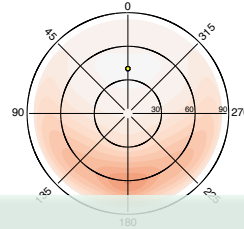
- 9 satellites in formation  $\approx$  9 views on a single satellite
- Improvements are gradual – loss of single observation is not catastrophic
- At some point, additional views don't improve AOT, but they do for other parameters
- Quantity of aerosols (AOT) controls ability to retrieve properties



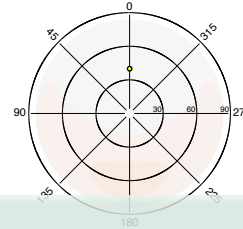
AOT(555nm) 1 type 0 Jacobian for 410nm SN0123, SZA=40, DoLP



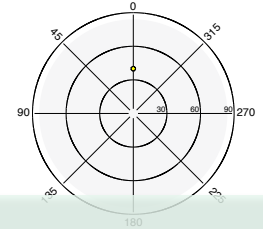
Size A, type 0 Jacobian for 410nm SN0123, SZA=40, DoLP



AOT(555nm) 1 type 1 Jacobian for 410nm SN0123, SZA=40, DoLP



Size A, type 1 Jacobian for 410nm SN0123, SZA=40, DoLP



# Implications

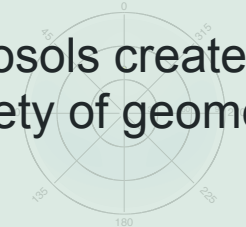
Aerosols create smoothly varying BRDF's, which can be properly sampled from a variety of geometries

This opens up possibilities for alternate observation scenarios, such as formation flight...

...many other types of tests are also needed

We have established a framework that can be used for other observations

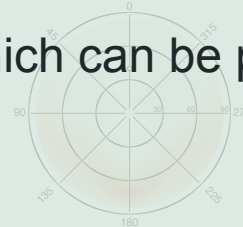
Chi Jacobian for 410nm SN0123, SZA=40, DoLP



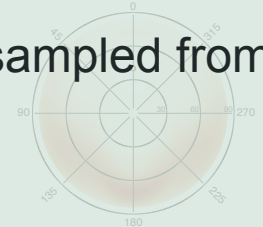
WS Jacobian for 410nm SN0123, SZA=40, DoLP



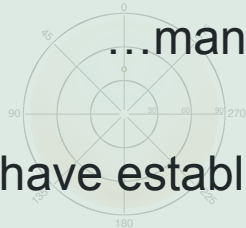
AOT(555nm) 1 type 0 Jacobian for 555nm SN0123, SZA=40, DoLP



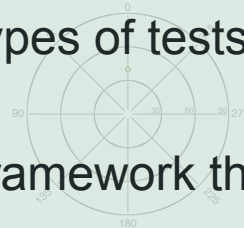
Size A, type 0 Jacobian for 555nm SN0123, SZA=40, DoLP



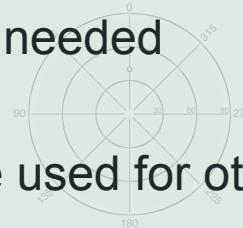
AOT(555nm) 1 type 1 Jacobian for 555nm SN0123, SZA=40, DoLP



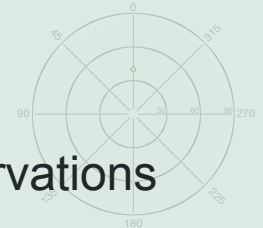
Size A, type 1 Jacobian for 555nm SN0123, SZA=40, DoLP



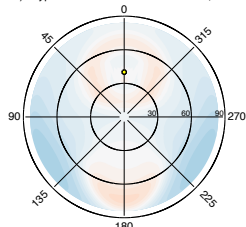
Chi Jacobian for 555nm SN0123, SZA=40, DoLP



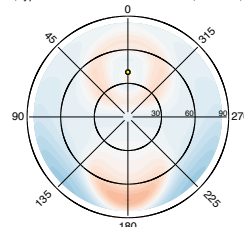
WS Jacobian for 555nm SN0123, SZA=40, DoLP



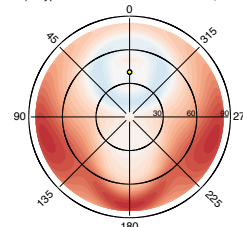
AOT(555nm) 1 type 0 Jacobian for 865nm SN0123, SZA=40, DoLP



Size A, type 0 Jacobian for 865nm SN0123, SZA=40, DoLP



AOT(555nm) 1 type 1 Jacobian for 865nm SN0123, SZA=40, DoLP



Size A, type 1 Jacobian for 865nm SN0123, SZA=40, DoLP

